

**RAY R. REGAN**

REGISTERED PATENT ATTORNEY

U.S. PRO  
JC 10/07/02 334  
02/12/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Robert Aranda, Jr.**

Sole Inventor: **Robert Aranda, Jr.**

For: **AN INDEPENDENT AND INTEGRATED CENTRALIZED  
HIGH SPEED SYSTEM FOR DATA MANAGEMENT**

Attorney Docket Number: **2215.004**

Express Mail Label Number: **ET055781496US**

**TRANSMITTAL OF ORIGINAL APPLICATION  
FOR U.S. NONPROVISIONAL PATENT**

To: **Assistant Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231**

Dear Assistant Commissioner:

Enclosed for filing are:

- Application for United States the Nonprovisional Patent described above;
- 3 sheets comprising the drawing figures numbered 1 through 3;
- a Declaration, Power of Attorney, and Petition of the inventor(s);
- an Assignment of Invention from Robert Aranda, Jr. ("Assignor") to Loss Prevention Management, Inc., a New Mexico corporation ("Assignee");
- a Recordation Cover Sheet in connection with recordation of the Assignment;
- this written assertion that Applicant and Assignee claim entitlement to small entity status;
- a Transmittal of Information Disclosure Statement;

- an Information Disclosure Statement;
- copies of all items identified in the Information Disclosure Statement;
- a duplicate of this sheet;
- a Certificate of Mailing by Express Mail;
- a prepaid stamped post card to be processed by the Patent Office and mailed to the undersigned sender;

Method of Payment of Fees:

- a check in the amount of \$728.00 for (a) the government filing fee in connection with the Application, and (b) the government recording fee for the Assignment; and
- The Commissioner is hereby authorized to charge the following additional fees by this paper to **Account Number 501565** for the Law Office of Ray R. Regan, including:
  - 37 CFR 1.16(a), (f) or (g) for filing fees;
  - 37 CFR 1.16(b), (c) and (d) for the presentation of extra claims; and
  - any overpayments should be credited to Account Number 501565.

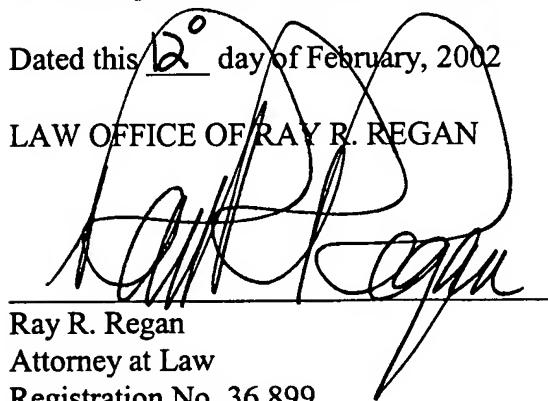
[This document is continued on the following page.]

<b>FEES CALCULATION</b>					<b>FEE</b>
	<b>NUMBER</b>		<b>NUMBER EXTRA</b>	<b>RATE</b>	<b>BASIC FEE \$740.00</b>
Total Claims	46	- 20 =	26	x \$18	\$ 486.00
Independent Claims	5	- 3 =	2	x \$84	\$ 168.00
Total Filing Fee					\$1,376.00
<b>Less Small Entity Adjustment of Fifty Percent Recording Fee</b>					<b>\$ 688.00 \$ 40.00</b>
<b>Total Enclosed</b>					<b>\$ 728.00</b>

All correspondence related to this application may be addressed to the undersigned.

Dated this 12<sup>th</sup> day of February, 2002

LAW OFFICE OF RAY R. REGAN

  
Ray R. Regan  
Attorney at Law  
Registration No. 36,899

*Mailing Address:*

P.O. Box 1442  
Corrales, New Mexico 87048

*Street Address:*

5120 San Francisco, N.E.  
Albuquerque, New Mexico 87109  
Telephone (505) 897-7200  
Facsimile (505) 897-7201  
E-mail [rayregan@comcast.net](mailto:rayregan@comcast.net)

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

U.S. PTO  
JC979 10/07/4334  
02/12/02  


Law Office of Ray R. Regan, Telephone (505) 897-7200, Facsimile (505) 897-7201

---

In re Application of: Robert Aranda, Jr.

Sole Inventor: Robert Aranda, Jr. 

For: AN INDEPENDENT AND INTEGRATED  
CENTRALIZED HIGH SPEED SYSTEM  
FOR DATA MANAGEMENT

Attorney Docket Number: 2215.004

Express Mail Label Number: ET055781496US

---

**U.S. PATENT DOCUMENTS**

Examiner's Initial(s)	Document Number	Date	Name(s) of Inventor(s)	Class	Subclass
	5,796,942	08/18/98	Esbensen	395	187.01
	5,974,236	10/26/99	Sherman	395	200.51
	4,168,532	09/18/79	Dempsey, et al.	364	900
	6,205,483B1	03/20/01	Nakanishi	709	229
	5,237,561	08/17/01	Pyhalammi	370	29
	4,814,869	03/21/89	Oliver, Jr.	358	108

5,953,350	09/14/99	Higgins	370	524
5,991,881	11/23/99	Conklin, et al.	713	201
5,534,914	07/09/96	Flohr et al.	348	15
5,694,335	12/02/97	Hollenberg	364	514C

#### **FOREIGN PATENT DOCUMENTS**

Examiner's Initial(s)	Document Number	Date	Country	Class	Subclass	Translation Yes      No
-----------------------	-----------------	------	---------	-------	----------	----------------------------

#### **OTHER NONPATENT LITERATURE DOCUMENTS**

Examiner's Initial(s)	Author, Title, Date of Publication, Place of Publication, Pertinent Pages, Translations (attached, if available), etc.
-----------------------	--

Examiner Signature	Date Considered
-----------------------	-----------------

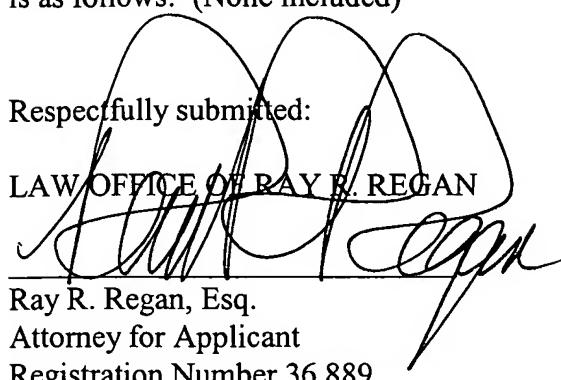
As provided in 37 CFR §1.97(e), the undersigned states that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign, and to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

As provided in 37 CFR §1.98(a)(3), the following explanation of the relevance, as it is presently understood by the undersigned, of each item listed above that is not in the English language

is as follows: (None included)

Respectfully submitted:

LAW OFFICE OF RAY R. REGAN

  
Ray R. Regan, Esq.  
Attorney for Applicant  
Registration Number 36,889

**Mailing Address:**

P.O. Box 1442  
Corrales, New Mexico 87048

**Street Address:**

5120 San Francisco, N.E.  
Albuquerque, New Mexico 87109

Telephone: (505) 897-7200

Facsimile: (505) 897-7201